



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/767,247	01/28/2004	Naoki Watanabe	16869B-077300US	4787
20350 7590 12/06/2007 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834			EXAMINER CAMPOS, YAIMA	
			ART UNIT 2185	PAPER NUMBER
			MAIL DATE 12/06/2007	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/767,247

Applicant(s)

WATANABE, NAOKI

Examiner

Yaima Campos

Art Unit

2185

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 28 September 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

RESPONSE TO AMENDMENT

1. As per the instant application 10/767,247; the examiner acknowledges the applicant's submission of the amendment dated September 28, 2007. At this point, claims 1-3, 5, 8, 10-11, 15 and 18 have been amended. Claims 1-24 are pending, all of which are ready for examination by the examiner.

Continued Examination Under 37 CFR 1.114

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on September 28, 2007 has been entered.

OBJECTIONS TO THE CLAIMS

3. Claims 1 and 10 are objected to because of the following informalities: Claims 1 and 10 read "replication storage volumes for improving reliability of the storage system". This limitation is interpreted as claiming intended use. A recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. See MPEP 2106 II (C).

Art Unit: 2185

REJECTIONS BASED ON PRIOR ART

Claim Rejections - 35 USC § 112

4. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

5. Claims 1-24 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Claims 1 and 10 recite “such that at least some of the replication storage volumes are located outside the respective failure boundary *for any of the types of storage failure*”; the Examiner has not found support in the Specification for this limitation.

6. Any claims not specifically addressed above are rejected for encompassing deficiencies found in base claims upon which they depend.

REJECTIONS BASED ON PRIOR ART

Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Art Unit: 2185

8. **Claims 1-20 and 23-24** are rejected under 35 U.S.C. 103(a) as being unpatentable over Bridge (US 6,530,035) in view of Iwami et al. (US 2002/0112030) and Ohran et al. (US 2002/0112134).

9. As per **claims 1 and 10**, Bridge discloses “A method of controlling a storage system having primary storage volumes and replication storage volumes which replication storage volumes for improving reliability of the storage system,” as [**“the invention relates to a method and system for managing storage systems containing multiple storage devices” (Column 1, lines 9-11) and also that “to protect against the loss of information, data on the system can be *mirrored* (i.e., duplicated and stored) on two or more separate storage locations” (Column 1, lines 50-52). Bridge also explains that “if a disk drive fails, protected extents can be rebuilt from that disk drive’s mirror partners” (Column 14, lines 53-54) and that “this reduces the meantime to repair the failure with a hot standby, since a higher I/O rate can be used to reconstruct lost data” (Column 14, lines 56-58) wherein “a lower mean time to repair reduces the probability of having two simultaneous failures” (Column 14, lines 61-62); therefore, providing higher reliability in a storage system]**

“the method comprising: determining a plurality of failure boundaries in the storage system, each failure boundary identifying a portion of the storage system that will be affected by a type of storage failure, one of the plurality of failure boundaries;” [With respect to this limitation, Bridge discloses an equivalent method wherein “each disk drive is associated with a failure group. Two disk drives are in different failure groups if they do not share a common failure condition that is projected to affect both disk drives at the same time” (Column 2, lines 42-46) as failure groups encompass different failure boundaries which are selected so

Art Unit: 2185

that each failure boundary/group is affected by a different type of failure (*Applicant should note that as Bridge isolates failure groups such that different failure groups do not share a common failure condition, Bridge is creating failure boundaries/groups that will be affected by a different type of storage failure, as broadly claimed*). Bridge discloses “for mirroring, each disk drive is paired with one or more disk drives from other failure groups” (Column 2, 51-52) so that “two independent failures would be required to destroy both pieces of the data” (Column 4, lines 32-33); therefore, a mirror copy of a drive belongs in a different failure boundary as its mirror pair]

being determined using at least one of error correction group and controller group information of the primary storage volumes and replication storage volumes to divide the storage volumes into failure groups of logical volumes; [“two disk drives on a common controller could be considered part of the same failure group for a high reliability mirrored data system” (Figure 6 and Column 15, lines 2-4) as including control group information for assigning/determining failure boundaries, and explains “when a piece of a logical volume is allocated on one of the disk drive’s mirror partners” (Col. 2, lines 55-57)]

using the plurality of determined failure boundaries to assign replication storage volumes, wherein a first type of content to be stored has replication storage volumes assigned across each failure boundary, such that at least some of the replication storage volumes are located outside the respective failure boundary for any of the types of storage failure, [With respect to this limitation, Bridge discloses “each disk drive is associated with a failure group. Two disk drives are in different failure groups if they do not share a common failure condition that is projected to affect both disk drives at the same time” (Column 2, lines 42-46) as failure

Art Unit: 2185

groups encompass different failure boundaries which are selected so that each failure boundary/group is affected by a different type of failure].

Bridge does not disclose expressly the boundary being determined using error correction group of the primary storage volumes and replication storage volumes nor using type of content to be stored to assign replication storage volumes, wherein a second type of content is able to be stored having replication storage volume within at least one failure boundary.

Iwami discloses the boundary being determined using error correction group of the primary storage volumes and replication storage volumes to divide the storage volumes into failure groups of logical volumes as [**“the ECC configuration table 208 is searched for available resources from which a suitable disk may be created... it is determined whether a logical disk was successfully created from the available resources of the ECC group controlled by the ECC configuration table 208. If a logical disk was successfully created, then in step 306, the new disk is assigned according to the request” (Page 3, Par. 0032; Figure 3 and related text) and explains “logical disk definitions” in which “Each entry comprises a logical disk ID 501, an Error Checking and Correcting (ECC) group ID 502, a data volume 503, a data speed 504 and an indicators of whether the logical disk has been assigned. An ECC group is comprised of physical disks” (Pages 3-4, Par. 0036-0037; Figures 5-6 and related text)]:**

Ohran discloses using the plurality of determined failure boundaries and a type of content to be stored to assign replication storage volumes, wherein a first type of content to be stored has replication storage volumes assigned across each failure boundary, such that at least some of the replication storage volumes are located outside the respective failure boundary for any of

the types of storage failure, and wherein a second type of content is able to be stored having replication storage volume within at least one failure boundary as [**“one of the simplest approaches to creating a backup copy of a large volume of computer data is to copy the data from a mass storage system to an archival device, such as one or more magnetic tapes”** (Page 1, Par. 0008) and explains **“data blocks that are to be overwritten after a point in time in which a mirrored copy of the data has been created are stored in a preservation memory”** (Page 2, Par. 0026) wherein **“preservation memory 14 is a physical or logical device associated with computer 10 in which data blocks that are to be overwritten in mass storage device are stored. Preservation memory 14 can be a volatile device, such as a random access memory (RAM) or any other device that can store data blocks that are to be overwritten in mass storage device 12. Although preservation memory 14 is illustrated as being a separate device in Fig. 1, the preservation memory can be a partition or another portion of mass storage device 12”** (Page 3, Par. 0029) wherein **“as the volume of data stored in the preservation memory approaches the capacity of preservation memory, a full mirror or backup operation is performed on the mass storage device”** (Page 4, Par. 0047) *wherein Ohran explains “in response to a data corruption event, the data blocks stored in the preservation memory are used to incrementally restore the data in the mass storage device in reverse chronological order to at a point at which valid, non-corrupted data exists” (Par. 0041). Therefore, Applicant should note that as Ohran stores data to be overwritten/incremental/differential updates in preservation memory, this comprises storing data content of a first type within a failure boundary as multiple updates are stored within preservation memory which comprises a failure boundary. As Ohran stores*

Art Unit: 2185

full data backups to mass storage device, Ohran is storing full backup data/data of a second type across failure boundaries, as the mass storage device comprises a different failure boundary as the preservation memory. Applicant should note that as Ohran discloses preservation memory and mass storage (which are not subject to the same failure), Ohran discloses at least two failure boundaries].

Bridge (US 6,530,035), Iwami et al. (US 2002/0112030) and Ohran et al. (US 2002/0112134) are analogous art because they are from the same field of endeavor of computer memory access and control.

At the time of the invention it would have been obvious to a person of ordinary skill in the art to modify the method of controlling a storage system having primary storage volumes and replication storage volumes in which a boundary of failure used to assign replication storage volumes to assure that at least some of the replication storage volumes are outside the failure boundary as taught by Bridge, assign/create logical volumes using error correction groups as taught by Iwami and further use the determined failure boundary and a type of content to be stored to assign replication storage volumes, a first type of content to be stored having replication storage volumes assigned across the failure boundary, and a second type of content to be stored having replication storage volume within the failure boundary as taught by Ohran.

The motivation for doing so would have been because Iwami discloses that using error correction group to divide storage volumes into failure groups of logical volumes [to “assign disk in storage that have sufficient data access speed to accommodate a communication data speed of network resources” (Page 7, Par. 0064) wherein “storage resources are matched to communications capabilities to provide for improved storage system

Art Unit: 2185

throughput capability” (Page 2, Par. 0012) thereby creating logical groups/volumes which differ from other logical volumes according to different characteristics such as ECC group characteristics]. Ohran discloses using the determined failure boundary and a type of content to be stored to assign replication storage volumes, a first type of content to be stored having replication storage volumes assigned across the failure boundary, and a second type of content to be stored having replication storage volume within the failure boundary is done so that **[“data can be restored to a state that is newer than that associated with a full mirrored or archived copy of the data. Thus, full mirror or archiving operation on a volume or data can be less frequent without the risk of losing changes to the volume of data that have occurred since the last full mirror or archiving operation” (Page 1, Par. 0012)].**

Therefore, it would have been obvious to combine Iwami et al. (US 2002/0112030) with Bridge (US 6,530,035) and Ohran et al. (US 2002/0112134) for the benefit of creating a method of controlling a storage system having primary storage volumes and replication storage volumes to obtain the invention as specified in claims 1 and 10.

10. As per **claim 2**, the combination of Bridge, Iwami and Ohran discloses “A method as in claim 1,” **[See rejection to claim 1 above]** “wherein the failure boundary is determined by software managing the storage system” **[With respect to this limitation, Bridge discloses that “all named drives in a failure group share some common disk drive failure criteria, which is any failure mode or condition which is projected to cause the related disk drives to fail at the same time period” (Column 13, lines 35-38) and explains that “hard-wired circuitry may be used in place of or in combination with software instructions to implement the invention” (Column 26, lines 36-40)].**

Art Unit: 2185

11. As per **claim 3**, the combination of Bridge, Iwami and Ohran discloses “A method as in claim 2” [See rejection to claim 2 above] “wherein a logical address of locations in the storage system is used to determine the failure boundary” [With respect to this limitation, Bridge discloses that “the logical volume manager configures a pool of disk drives into logical volumes (also called logical disks) so that applications and users interface with logical volumes instead of directly accessing physical disk drives” (Column 1, lines 24-27)].

12. As per **claim 4**, the combination of Bridge, Iwami and Ohran discloses “A method as in claim 1” [See rejection to claim 1 above] “wherein there are a plurality of failure boundaries and each is determined by software managing the storage system” [With respect to this limitation, Bridge discloses that “all named drives in a failure group share some common disk drive failure criteria, which is any failure mode or condition which is projected to cause the related disk drives to fail at the same time period” (Column 13, lines 35-38) wherein “there should be at least two failure-groups to implement proper redundancy” (Column 14, lines 55-57) and explains that “hard-wired circuitry may be used in place of or in combination with software instructions to implement the invention” (Column 26, lines 36-40)].

13. As per **claims 5-6 and 11-12**, the combination of Bridge, Iwami and Ohran discloses “A method as in claims 4 and 10” [See rejection to claim 4 above and rejection to claim 10 bellow] “wherein information regarding the failure boundaries is stored as a table in the server” and “the server is used to manage the storage system” [With respect to this limitation, Bridge discloses that “a separate list is maintained for each disk drive with entries that describe each allocation unit on that disk drive. The example of FIG. 4 illustrates one embodiment

of this list which is referred to as an *allocation table*” (Figure 4 and Column 10, lines 13-17) wherein “if a disk drive fails, the surviving allocation tables can be used to reconstruct any pointer extent on the failed device containing entries for allocation units on surviving devices” (Column 10, lines 41-44) and also explains that when a disk drive fails, “reconstruction can be accomplished by looking at the mirror partner’s allocation tables. Thus no other disk drives need to be examined” (Column 14, lines 58-61) as storing failure boundary information for both, primary and secondary (or mirror) volumes of data on each disk; therefore, each disk functions as a server for other disks in the system as each disk stores data pertaining to other disks. Bridge further discloses that “a server might transmit a requested code for an application program through Internet, ISP, local network and communication interface. In accordance with the invention, one such downloaded application manages storage systems that contain multiple data storage devices” (Figure 19 and Column 27, lines 43-49). Furthermore, Iwami discloses “logical disk configuration table” (Figure 5 and related text) and other resource allocation tables which reside in “memory 112” (Figure 2 and related text)].

14. As per claims 7 and 13, the combination of Bridge, Iwami and Ohran discloses “A method/system as in claims 5 and 11” [See rejection to claim 5 above and rejection to claim 11 below] “wherein information regarding the failure boundaries also includes information about reliability of the primary storage volumes and the replication storage volumes” [Bridge discloses this limitation as “two disk drives on a common controller could be considered part of the same failure group for a high-reliability mirrored data system, but may be considered in two separate failure groups for a system having lower demand-levels for

Art Unit: 2185

reliability” (Column 15, lines 2-6) as taking reliability information for each failure group into account].

15. As per **claim 8**, the combination of Bridge, Iwami and Ohran discloses “A method as in claim 1” [See rejection to claim 1 above] “wherein the boundary of the failure is used to assign storage volumes as replication storage volumes for a particular operation of the storage system” [Bridge discloses this limitation as “for mirroring, each disk drive is paired with one or more disk drives from other failure groups” (Column 2, 51-52) so that “two independent failures would be required to destroy both pieces of the data” (Column 4, lines 32-33); therefore, a mirror copy of a drive belongs in a different failure boundary as its mirror pair and failure boundary information is used to assign a mirror pair for a data volume].

16. As per **claim 9**, the combination of Bridge and Iwami discloses “A method as in claim 8” [See rejection to claim 8 above] “wherein information relating to the boundary of the failure includes error correction group and controller group information for each of the primary storage volumes and the replication storage volumes” [With respect to this limitation, Bridge discloses “using mirror partners also limit the chances of multiple-drive failures damaging a parity protected extent. A parity set is allocated by picking any disk drive as the primary disk to hold the parity extent and then allocating the data extents on its mirror partners. Each data extent should be located on a mirror partner that is in a different failure group from other extents in the parity set” (Column 14, lines 34-40) as including error correction information and further explains that “two disk drives on a common controller could be considered part of the same failure group for a high reliability mirrored data system” (Figure 6 and Column 15, lines 2-4) as including control group information. Furthermore,

Art Unit: 2185

Iwami discloses “the ECC configuration table 208 is searched for available resources from which a suitable disk may be created... it is determined whether a logical disk was successfully created from the available resources of the ECC group controlled by the ECC configuration table 208. If a logical disk was successfully created, then in step 306, the new disk is assigned according to the request” (Page 3, Par. 0032; Figure 3 and related text)].

17. As per **claim 14**, the combination of Bridge, Iwami and Ohran discloses “A storage system as in claim 11” [See rejection to claim 11 above] “wherein information regarding the failure boundaries also includes information about performance of the primary and replication storage volumes” [With respect to this limitation, Bridge discloses that “the size of allocation units is selected for desired performance characteristics. One factor to consider in this selection is the I/O performance of the disk drive(s) containing the allocation units” (Column 7, lines 16-19) and also explains that “pointer extents can be in a different disk group from data extents. This is useful for cases where one disk group has different performance characteristics than another” (Column 9, lines 48-50) as taking performance information for each failure group into account].

18. As per **claims 15 and 18**, the combination of Bridge, Iwami and Ohran discloses a method as in claims 1 and 10 [See rejection to claims 1 and 10 above] wherein the boundary of a failure is determined based on logical addresses [Iwami discloses this limitation as “logical disk configuration table” comprising entries of one or more logical disk definitions (Figure 5 and related text)].

19. As per **claims 16 and 19**, the combination of Bridge, Iwami and Ohran discloses a method as in claims 15 and 18 wherein the logical addresses correspond to volume numbers or

error correction groups [Iwami discloses this limitation as “logical disk definitions” in which “each entry comprises a logical disk ID 501, an Error Checking and Correcting (ECC) group ID 502, a data volume 503” (Figure 5 and related text)].

20. As per claims 17 and 20 the combination of Bridge, Iwami and Ohran discloses “A method as in claim 1” [See rejection to claims 1 and 10 above] “further comprising: performing a replication process between the primary replication volumes and secondary storage volumes, the replication process utilizing a daily or hybrid backup implementation” as [data is stored to preservation memory as changes are made after a full backup operation (Page 2, Par. 0026) and explains having data that changes frequently, for example, on a daily basis (Page 1, Pars. 0005 and 0010)].

21. As per claims 23 and 24 (new), the combination of Bridge, Iwami and Ohran discloses a method/storage system as in claims 1 and 10 wherein the first type of content to be stored is a full backup of data and the second type of content to be stored is a differential backup of data as [“data blocks that are to be overwritten after a point in time in which a mirrored copy of the data has been created are stored in a preservation memory” (Page 2, Par. 0026) wherein “preservation memory 14 is a physical or logical device associated with computer 10 in which data blocks that are to be overwritten in mass storage device are stored. Preservation memory 14 can be a volatile device, such as a random access memory (RAM) or any other device that can store data blocks that are to be overwritten in mass storage device 12. Although preservation memory 14 is illustrated as being a separate device in Fig. 1, the preservation memory can be a partition or another portion of mass storage device 12” (Page 3, Par. 0029) wherein “as the volume of data stored in the preservation memory

Art Unit: 2185

approaches the capacity of preservation memory, a full mirror or backup operation is performed on the mass storage device” (Page 4, Par. 0047)].

22. **Claims 21 and 22** are rejected under 35 U.S.C. 103(a) as being unpatentable over Bridge (US 6,530,035) in view of Iwami et al. (US 2002/0112030) and Ohran et al. (US 2002/0112134).

23. As per **claims 21 and 22**, the combination of Bridge and Iwami discloses the method/system as in claims 1 and 10 [See rejection to claims 1 and 10 above] but does not disclose expressly “the primary storage volumes and replication storage volumes are horizontally or vertically addressed.”

At the time of the invention it would have been obvious to a person of ordinary skill in the art to use horizontal, vertical, or another form of addressing well known in the field of endeavor as Applicant’s own disclosure explains that [**“the server 106 uses address to indicate horizontal, vertical, or some other form” (Paragraph 0043) and also explains that “in the case of vertical addressing the impact of replication is the same as with example of horizontal addressing; the only differences are the physical arrangement of the storage volumes” (Paragraph 0033)].**

Therefore, it would have been obvious to use any addressing form for the benefit of creating a method of controlling storage system replication to obtain the invention as specified in claim 21 and 22.

ACKNOWLEDGMENT OF ISSUES RAISED BY THE APPLICANT

Response to Amendment

24. Applicant's arguments filed September 28, 2007 have been fully considered but they are not persuasive.

25. As required by M.P.E.P. § 707.07(f), a response to these arguments appears below.

ARGUMENTS CONCERNING PRIOR ART REJECTIONS

26. Claims must be given the broadest reasonable interpretation during examination and limitations appearing in the specification but not recited in the claim are not read into the claim (See M.P.E.P. 2111 [R-1]).

FIRST POINT OF ARGUMENT

27. In response to Applicant's remark that the combination of Bridge, Iwami and Ohran does not disclose determining a plurality of failure boundaries for different types of failure and assigning storage volumes within and across boundaries based upon types of data being stored such that full backup data is stored across failure boundaries and differential data is stored within the same failure boundaries; this remark has been fully considered but it is not persuasive. [See **claim rejections above**].

28. Bridge discloses [**"each disk drive is associated with a failure group. Two disk drives are in different failure groups if they do not share a common failure condition that is projected to affect both disk drives at the same time"** (Column 2, lines 42-46) as failure groups encompass different failure boundaries which are selected so that each failure boundary/group is affected by a different type of failure (*Applicant should note that as*

Art Unit: 2185

Bridge isolates failure groups such that different failure groups do not share a common failure condition, Bridge is creating failure boundaries/groups that will be affected by a different type of storage failure, as broadly claimed). Bridge discloses “for mirroring, each disk drive is paired with one or more disk drives from other failure groups” (Column 2, 51-52) so that “two independent failures would be required to destroy both pieces of the data” (Column 4, lines 32-33); therefore, a mirror copy of a drive belongs in a different failure boundary as its mirror pair wherein failure groups encompass different failure boundaries which are selected so that each failure boundary/group is affected by a different type of failure] and Ohran discloses [“one of the simplest approaches to creating a backup copy of a large volume of computer data is to copy the data from a mass storage system to an archival device, such as one or more magnetic tapes” (Page 1, Par. 0008) and explains “data blocks that are to be overwritten after a point in time in which a mirrored copy of the data has been created are stored in a preservation memory” (Page 2, Par. 0026) wherein “preservation memory 14 is a physical or logical device associated with computer 10 in which data blocks that are to be overwritten in mass storage device are stored. Preservation memory 14 can be a volatile device, such as a random access memory (RAM) or any other device that can store data blocks that are to be overwritten in mass storage device 12. Although preservation memory 14 is illustrated as being a separate device in Fig. 1, the preservation memory can be a partition or another portion of mass storage device 12” (Page 3, Par. 0029) wherein “as the volume of data stored in the preservation memory approaches the capacity of preservation memory, a full mirror or backup operation is performed on the mass storage device” (Page 4, Par. 0047) wherein Ohran explains “in

response to a data corruption event, the data blocks stored in the preservation memory are used to incrementally restore the data in the mass storage device in reverse chronological order to at a point at which valid, non-corrupted data exists” (Par. 0041). Therefore, Applicant should note that as Ohran stores data to be overwritten/incremental/differential updates in preservation memory, this comprises storing data content of a first type within a failure boundary as multiple updates are stored within preservation memory which comprises a failure boundary. As Ohran stores full data backups to mass storage device, Ohran is storing full backup data/data of a second type across failure boundaries, as the mass storage device comprises a different failure boundary as the preservation memory. Applicant should note that as Ohran discloses preservation memory and mass storage (which are not subject to the same failure), Ohran discloses at least two failure boundaries].

SECOND POINT OF ARGUMENT

29. In response to Applicant’s argument that there is not suggestion to combine the references, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case, both Bridge, Iwami and Ohran are directed to and involved in computer memory access and control.

Art Unit: 2185

30. All arguments by the applicant are believed to be covered in the body of the office action and thus, this action constitutes a complete response to the issues raised in the remarks dated September 28, 2007.

STATUS OF CLAIMS IN THE APPLICATION

31. The following is a summary of the treatment and status of all claims in the application as recommended by M.P.E.P. § 707.07(i)

a(1) CLAIMS REJECTED IN THE APPLICATION

32. Per the instant office action, claims 1-24 have received a first action on the merits and are subject of a first action non-final rejection.

DIRECTION OF ALL FUTURE REMARKS

33. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Yaima Campos whose telephone number is (571) 272-1232. The examiner can normally be reached on Monday to Friday 8:30 AM to 5:00 PM.

IMPORTANT NOTE

34. If attempts to reach the above noted Examiner by telephone are unsuccessful, the Examiner's supervisor, Mr. Sanjiv Shah, can be reached at the following telephone number: Area Code (571) 272-4098.

35. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for

Art Unit: 2185

published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

November 30, 2007



Yaima Campos
Examiner
Art Unit 2185



SANJIV SHAH
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100